STORAGE DEVELOPER CONFERENCE



Approximate DNA Storage with High Robustness and Density for Images

Presented by Bingzhe Li Assistant Professor University of Texas at Dallas

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Big Data

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Image from: https://www.seagate.com/files/www-content/our-story/trends/files/idc-seagate-dataage-whitepaper.pdf



Why DNA Storage?



Large gap between generated data and installed storage capacity.



- 25,000 x 8TB HDDs
- 5 10 years of warranty





• Several centuries ^[2]



Photo: Tara Brown / UW



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Allentoft et al. The half-life of dna in bone: measuring decay kinetics in 158 dated fossils. Proceedings of the Royal Society B: Biological Sciences, 279(1748):4724–4733, 2012.
 Grass et al. Robust chemical preservation of digital information on dna in silica with error-correcting codes. Angewandte Chemie International Edition, 54(8):2552–2555, 2015.
 Figure source: IDC

200 PB data



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150 ~ 300 bases





Issues of DNA Storage

DNA storage is

- Error-prone
- Expensive (e.g., \$1million/GB)
- Slow (e.g., hours/GB)
- Special preservation
- Low encoding density (ideal one is 2bits/nt)
 - 00->A, 01->T, 10->C, 11->G

Errors of DNA storage:

- Some patterns may increase error rates :
 - Consecutive identical nucleotides (e.g., "AAAA")
 - Hairpin structure/secondary structure
 - etc.



Error Propagation in DNA Storage

Error propagation: Bit Base 00 Α 01100001 0111000 01100101 **Binary**: 0111000 01101100 . . . 01 Т Encoding 10 G TCAA **TCAA** TGAT TGCA TGTT Original DNA sequence: . . . С 11 **Deletion error** Synthesis & Sequencing ΤΑΑΤ **GTT**... TGAT **GCAT** CAAT Sequencing result: . . . Decoding Decoded binary: 01100001 01000001 11000001 10110001 100101

Conclusion:

• One nucleotide error causes a series of errors in its subsequence

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Error Propagation (EP) in DNA Storage cont.



Conclusion: error propagation in DNA sequence

• One nucleotide error causes a series of errors in its subsequence



8 | ©2023 SNIA. All Rights Reserved. Lin, Dehui, Yasamin Tabatabaee, Yash Pote, and Djordje Jevdjic. "Managing reliability skew in DNA storage." In *Proceedings of the* 49th Annual International Symposium on Computer Architecture, pp. 482-494. 2022.



IMG-DNA [Systor'21]

DP-DNA [MASCOTS'23]

Issues of DNA Storage

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HL-DNA [ICCD'22]



Increase Density of DNA Storage

DP-DNA: A Digital Pattern-Aware DNA Encoding Scheme to Improve Encoding Density of DNA Storage^[1]

[1] Bingzhe Li, Li Ou, Bo Yuan, and David Du, "DP-DNA: A Digital Pattern-Aware DNA Encoding Scheme to Improve Encoding Density of DNA Storage", The 31st International Symposium on the Modeling, Analysis, and Simulation of Computer and Telecommunication Systems (2023).



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A typical encoding scheme – rotation code



- Avoid long homopolymer
- GC content is roughly maintained

[1] JamesBornholt,RandolphLopez,DouglasMCarmean,LuisCeze,GeorgSeelig, and Karin Strauss. A dna-based archival storage system. In Proceedings of the Twenty-First International Conference on Architectural Support for Programming Languages and Operating Systems, pages 637–649, 2016. 12 | ©2023 SNIA. All Rights Reserved. Issues of previous work

- Low encoding density
 - Mapping 8 bits to 5 or 6 trits (base3) ~ 1.57bits/nt
 - Theoretically, encoding density is 2bits/nt, or 1.98bits/nt



Encoding scheme – 2bit-code and unbalance code



Issue: how about '111111' for 2bit-code?

• Long homopolymers

Issue of 11-code

- On average, encoding density is 1.6 bits/nt
- But, an extreme case
 - A sequence of 1111,1111 with an 'A' at the beginning
 - Then, DNA sequence will be:
 - A ACAC, ACAC
 - Encoding density is 1bits/nt







Observation: to solve the issue



- Four patterns (i.e., 00, 01, 10, and 11) have different distributions among sequences
- 1nt/bit is used for the pattern with the lowest percentage.
- Lower bound case will be 25% for all patterns



Digital Pattern aware code (DP-DNA)

- Find the lowest-frequency pattern
- Use the corresponding code
- For example, '11' has the lowest frequency in a binary sequence
- Then, use 11-code

- Worst case:
 - All patterns evenly show in a sequence
 - Encoding density is 1.60 bits/nt > 1.57bit/nt





Adding 2bit-code and Using Variable Length

Adding 2bit-code:

- Ideal encoding density (2bits/nt)
- If some sequences encoded with 2bits-code have no bio-constraint violations, we can encode those sequences with 2bit-code

Encoding density

Variable Length

Ideal encoding density (2bits/nt)

A sequence encoded with 2bits/nt



$$\frac{L}{L/\varepsilon_1 + L_{meta}} < \frac{L-M}{(L-M)/\varepsilon_2 + L_{meta}}$$

where ε_1 and ε_2 indicate the code densities of the low-density and high-density codes, respectively. L is the default length of the binary sequence to be encoded. M indicates how many bits are excluded for the high-density code. L_{meta} refers to the number of nucleotides used for metadata such as primer pairs and internal index in DNA strands.



DP-DNA overall design







Experimental results

Dataset

- Web
- Database
- Text
- Image
- Video





Increase Robustness of DNA Storage for Images

IMG-DNA: approximate dna storage for images^[1]

[1] Bingzhe Li, Li Ou, and David Du. "IMG-DNA: approximate dna storage for images." Proceedings of the 14th ACM International Conference on Systems and Storage. 2021.



High Demand for Storing Images

How Twitter Handles 3,000 Images Per Second

WEDNESDAY, APRIL 20, 2016 AT 8:56AM

Today Twitter is creating and persisting 3,000 (200 GB) images per second. Even better, in 2015 Twitter was able to save \$6 million due to improved media storage policies.

It was not always so. Twitter in 2012 was primarily text based. A Hogwarts without all the cool moving pictures

hanging on the wall. It's now 2016 and Twitter has moved into to a media rich future. Twitter has made the transition through the development of a new Media Platform capable of supporting photos with previews, multi-photos, gifs, vines, and inline video.



Creating a Twee

Day Cooper Smith Sep 18, 2013, 7:00 AM

Facebook Users Are Uploading 350 Million New Photos Each

Social Media Insights is a daily newsletter from Business Insider that collects and delivers the top social media news first thing every morning. You can sign up to receive Social Media Insights here or at the bottom of this post.



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Instagram has captured a large piece from the social media users and as today, there are 500 million active daily users. There are 995 photos uploaded every second and since the beginning of Instagram and by today, there are more than 50 billion uploaded images that is keep increasing. Instagram was originally created by a group of young people in 2010 but not too long after Instagram has become very popular, Facebook has purchased it for \$1 billion and owns it since. 🗬 The most followed Instagram user is Cristiano Ronaldo with over 203 million J followers.

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Observations of DNA Storage Encoding

- Small practical tube capacity
 - About 230GB per tube for random-access based DNA storage [1]

Error prone:

 Propagation errors [2]: One nucleotide error causes a series of errors in its subsequence



[1] Y. Wei, B. Li, and D. H. Du, "Dna storage: A promising large scale archival storage?" arXiv preprint arXiv:2204.01870, 2022.

[2] B. Li, L. Ou, and D. Du, "Img-dna: approximate dna storage foXr images," in Proceedings of the 14th ACM International Conference on Systems and Storage, 2021, pp. 1–9.



Background of JPEG-based Image



[1] Yu-ChunKuo,Ruei-FongChiu,andRen-ShuoLiu.Long-termjpegdataprotection and recovery for nand flash-based solid-state storage. In 2019 35th Symposium on Mass Storage Systems and Technologies (MSST), pages 141–147. IEEE, 2019.

[2] Qianqian Fan, David J Lilja, and Sachin S Sapatnekar. Adaptive-length coding of image data for low-cost approximate storage. IEEE Transactions on Computers, 69(2):239-252.2019

The 14th ACM International Systems and Storage Conference (Systor'21)

Our Contributions

- Image-based DNA Storage Architecture
- AC/DC Coefficient Separation at DNA Level
- Adding 'Barriers'
- Asymmetric Barriers for AC/DC Coefficients



Image-based DNA Storage Architecture



- 1. AC/DC separation
- 2. Encoding
- 3. Adding barrier
- 4. Chunking & assembling



Adding 'Barriers' and Asymmetric 'Barriers'

"AA" as a barrier keeps the error propagation within a partition

- No two consecutive identical "A" in the rotation encoding scheme
- The probability of generating "AA" caused by errors is low
- Barrier window is used for preventing the errors of insertion and deletion

Asymmetric 'Barriers' for AC/DC coefficients

- **Quality:** AC/DC have different influence on the quality of images
- **Overhead:** The number of ACs is much more than that of DC



Experimental Results

- Dataset: ImageNet
- Baselines: 1) Raw-DNA; 2) Approx-IMG; 3) IMG-DNA
- Metric: SSIM (structural similarity index metric)
- DNA strand length 250bp
- Environment:
 - A system with Intel i-7-47900 CPU@3.6GHz and 8GB memory
 - MATLAB2020a



Robustness of Image-based DNA System

Overall comparison: Raw-DNA Average SSIM 0.8 □ Approx-IMG 0.6 ☑ IMG-DNA 0.4 0.2 💼 ⊡ 0 0.1% 0.5% 1.0% 2.0% **Error Injection Rate**

The SSIM is higher, the quality of images is better

A graphic view of an image with different encoding schemes (0.1% error rate):



(a) Original

(b) IMG-DNA (SSIM=0.9078)

(c) Approx-DNA (SSIM=0.1604)

(d) Raw-DNA (SSIM=0.0561)

More results are shown in the paper

The 14th ACM International Systems and Storage Conference (Systor'21)



Increase Robustness and Density of DNA Storage for Images

HL-DNA: A Hybrid Lossy/Lossless Encoding Scheme to Enhance DNA Storage Density and Robustness for Images^[1]

[1] Yi Li, David HC Du, Li Ou, and Bingzhe Li. "HL-DNA: A Hybrid Lossy/Lossless Encoding Scheme to Enhance DNA Storage Density and Robustness for Images." In 2022 IEEE 40th International Conference on Computer Design (ICCD), pp. 434-442. IEEE, 2022.



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Motivation

- Images are error tolerant
- DNA storage is error-prone



Consider them together



Lossless code design

- DNA strands need to follow some bio-constraints to avoid high errors
- Rotation code helps avoid homopolymers (e.g., 'AAAA')
- Lossless code design
 - High density area: 2bits/nt
 - Low density area: 1bits/nt



Lossless code design

- DNA strands need to follow some bio-constraints to avoid high errors
- Rotation code helps avoid homopolymers (e.g., 'AAAA')
- Lossless code design
 - High density area: 2bits/nt
 - Low density area: 1bits/nt



Lossy code design

- Combine two low density rows together
- Using four different codes (C10, C11, C00, and C01)
 - Four codes have different error preferences
- 1X(0) indicates 11 and 10 are both encoded into the same nucleotides but will be decoded back to 10



Partition Scheme: Adding 'Barrier'

"A" as a barrier indicator

- Improve the robustness of DNA storage like [1]
 - Restricts the error propagation in a partition
- Enable multiple encodings in the same DNA strand to improve the encoding density/reduce error rates induced by the lossy encoding







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Overall Design of HL-DNA

- 1. Encode binary to nucleotides based on encoding scheme
 - Based on density lossy to select which encoding is used
- 2. Insert "barrier" to the DNA sequence
- 3. Adding the corresponding metadata such as primers, index, ECC, etc.
- 4. Coding format to indicate multiple encodings in the DNA strand



Algorithm 1 HL-DNA Algorithm 1: Inputs: BinarySeqs //**Binary sequences**// 2: Outputs: DNASeqs //**DNA sequences**// 3: procedure HL-DNA ENCODING ALGORITHM(binary sequence BinarySeqs) binary_len = length(BinarySeqs) for i in binary_len do 5: Compute frequencies f_{xx} of four binary patterns 6: '11', '10', '01', '00' if $f_{11} + f_{10} \ge f_{00} + f_{01}$ then density_lossless = $\frac{binary_len}{length(C1(i))}$ 7: 8: DNA lossless = C1(i)9: else 10: density_lossy = $\frac{binary_len}{length(CO(i))}$ 11: DNA lossless = CO(i)12: if $f_{00} == min(f_{00}, f_{01}, f_{10}, f_{11})$ then density_lossy = $\frac{binary_len}{length(C01(i))}$ 13: 14: DNA lossy = C01(i)15: else if $f_{01} == min(f_{00}, f_{01}, f_{10}, f_{11})$ then 16: density_lossy = $\frac{binary_len}{length(C00(i))}$ 17: DNA lossy = C00(i)18: else if $f_{10} == min(f_{00}, f_{01}, f_{10}, f_{11})$ then density_lossy = $\frac{binary_len}{length(C11(i))}$ 19: 20: $DNA_lossy = C11(i)$ 21: 22: else density_lossy = $\frac{binary_len}{length(C10(i))}$ 23: DNA lossy = C10(i)24: $err = \frac{min(f_{00}, f_{01}, f_{10}, f_{11})}{f_{00} + f_{01} + f_{10} + f_{11}}$ 25: if density_lossless \leq 1.65bits/nt or err < 26: Threshold then DNASeqs[i] = DNA_lossy 27: 28: else 29: DNASeqs[i] = DNA lossless 30: Note: C0(), C1(), C00(), C01(), C10(), and C11() are the functions of encoding manners in Fig. 3 and Fig. 4.

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Experimental Setup

- Dataset: ImageNet
- Four schemes:
 - Church et al. [1], Organick et al. [2], Blawat et al.
 [3], and HL-DNA
- Metric:
 - Encoding density (bits/nt)
 - SSIM (structural similarity index metric)
- DNA strand length 300bp



G. M. Church, Y. Gao, and S. Kosuri, "Next-generation digital information storage in dna," Science, vol. 337, no. 6102, pp. 1628–1628, 2012.
 L. Organick, S. D. Ang, Y.-J. Chen, R. Lopez, S. Yekhanin,
 K. Makarychev, M. Z. Racz, G. Kamath, P. Gopalan, B. Nguyen et al., "Random access in large-scale dna data storage," Nature biotechnology, vol. 36, no. 3, p. 242, 2018.
 M. Blawat, K. Gaedke, I. Huetter, X.-M. Chen, B. Turczyk, S. Inverso, B. W. Pruitt, and G. M. Church, "Forward error correction for dna data storage," Proceedia Computer Science, vol. 80, pp. 1011–1022, 2016.



Overall encoding density comparison



- HL-DNA increases the average encoding density of the previous studies by about 20.2% 89.4%.
- HL-DNA achieves the highest SSIM, which indicates the best robustness among different schemes.



Robustness of Image-based DNA System



The higher the SSIM is, the better the quality of images is.

A graphic view of an image with different encoding schemes (0.5% error rate):



(a) HL-DNA; SSIM=0.5528

(b) Organick: SSIM=0.0027



(c) Church: SSIM=0.4482

(d) Blawat: SSIM=0.4567



Potential DNA storage research

Digital data

PNG JPEG

Encoding

Indexing

text

image

video





10010 110010. Assembly: 01100 ... 0001 Encoding Start N1 ATG CTGTC .. GCA .. TAC ... 1 CGATAT тс Primer Encoding Indexing ECC Payload Primer

Microfluidic system

Capability

Binary sequence

01011010110010 ...

ECC

Segment

100110

001110 ...

010110 ...

110010

Payload

8 8



More issues:

- DNA storage preservation •
- Issue of limited read number •
- Performance of sequencing/synthesis •
- API to users ٠



- DP-DNA for increase areal density
- IMG-DNA is a robust architecture of DNA storage for images
- A hybrid lossy/lossless encoding based DNA storage architecture called HL-DNA
- Potential DNA storage research directions







Thanks! Q&A



Lab for Intelligent Storage and Computing



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Dr. Li Ou



Yi Li



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Yixun Wei







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